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# Crystal-field effects in $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ with a distorted kagome lattice

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We report on the magnetic and elastic properties of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  in static and pulsed magnetic fields up to 58 T. From the ultrasound results, we have obtained evidence for a phase transition at 2 K related to magnetic ordering. Furthermore, in the paramagnetic state,  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  shows pronounced anomalies in the magnetization and elastic moduli as a function of temperature and magnetic field. We explain our findings using a crystal-electric-field (CEF) model that includes quadrupolar interactions and propose a CEF level scheme for this material. However, the CEF effects cannot explain some field-induced anomalies, which suggests their different origin.

## I. INTRODUCTION

Rare-earth compounds with nonmagnetic elements harbor a wealth of intriguing magnetic properties that result from an interplay among exchange interactions, magnetocrystalline anisotropy, magnetoelastic effects, and multipolar interionic couplings. These interactions can be tuned, e.g., by magnetic field and elemental substitution, to explore the rich phase diagrams of the rare-earth-based materials. The exchange interactions and single-ion magnetic anisotropy are usually the dominant terms acting on the rare-earth ions [1]. Therefore, knowledge of the exchange couplings and crystal-electric-field (CEF) parameters is necessary for a quantitative analysis of the underlying physics.

Recently,  $R_3\text{Ru}_4\text{Al}_{12}$  ( $R$  is a rare-earth element or U) came into focus due to their intriguing electronic properties [2–20]. These materials crystallize in a hexagonal structure of  $\text{Gd}_3\text{Ru}_4\text{Al}_{12}$  type (space group  $P6_3/mmc$ ) [21–23]. The  $R$  atoms are arranged in a distorted kagome lattice, which leads to competing exchange and anisotropy interactions for some members of this group. The compounds with  $R = \text{Nd}$  and  $\text{Pr}$  are ferromagnets [2–4], whereas those with  $R = \text{Gd}$ ,  $\text{Tb}$ ,  $\text{Dy}$ ,  $\text{Ho}$ ,  $\text{Yb}$ , and  $\text{U}$  are antiferromagnets [5–20]. For some  $R_3\text{Ru}_4\text{Al}_{12}$ , complex magnetic structures were reported, whereby the crystallographic site of the  $R$  element lowers its local symmetry due to magnetic ordering [2, 3, 7, 11]. In applied magnetic field,  $R_3\text{Ru}_4\text{Al}_{12}$  show rotations of magnetic moments and CEF transitions. On the basis of the magnetic and elastic properties of  $R_3\text{Ru}_4\text{Al}_{12}$  with  $R = \text{Dy}$ ,  $\text{Ho}$ , and  $\text{U}$ , their CEF level schemes were determined [15, 16, 20]. Quadrupolar interactions were found to play an important role leading to a pronounced softening of a transverse elastic modulus.

Here, we report on the magnetic and elastic properties of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ . We observe an excessive hardening of longitudinal and transverse elastic moduli below 2 K, which likely reflects an ordering of Er magnetic moments. We also detect anomalies due to CEF transitions as a function of temperature and magnetic field. Our CEF

analysis that includes quadrupolar interactions well reproduces most of the temperature and field dependences of the magnetization and elastic moduli in the paramagnetic state. For this regime, we determined the CEF scheme of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ .

## II. EXPERIMENTAL DETAILS

An  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  single crystal was grown from a quasistoichiometric mixture of the pure elements (Er 99.9%, Ru 99.99%, Al 99.999%) with an Al mass excess of 1% in a tri-arc furnace by a modified Czochralski method. Standard x-ray diffraction analysis was performed on a part of the single crystal crushed into a fine powder. The lattice parameters of the hexagonal unit cell are  $a = 8.755 \text{ \AA}$  and  $c = 9.483 \text{ \AA}$ . The crystal was oriented using backscattered Laue diffraction for magnetization and ultrasound experiments.

The field and temperature dependences of the magnetization were measured up to 14 T using a commercial Physical Property Measurement System.

High-field magnetization was measured between 2 and 40 K in pulsed magnetic fields up to 58 T by the induction method using a coaxial pick-up coil system (a detailed description of the magnetometer can be found in Ref. [24]). Absolute values of the magnetization were calibrated using data obtained in static fields.

The field and temperature dependences of the relative sound-velocity changes were measured using an ultrasound pulse-echo phase sensitive detection technique [25, 26]. A pair of piezoelectric transducers were glued to opposite surfaces of the sample in order to excite and detect acoustic waves. We measured the longitudinal,  $C_{11}$  ( $\mathbf{k} \parallel \mathbf{u} \parallel [100]$ , where  $\mathbf{k}$  and  $\mathbf{u}$  are the wavevector and polarization of acoustic waves, respectively),  $C_{33}$  ( $\mathbf{k} \parallel \mathbf{u} \parallel [001]$ ), and transverse,  $C_{44}$  ( $\mathbf{k} \parallel [100]$ ,  $\mathbf{u} \parallel [001]$ ),  $C_{66}$  ( $\mathbf{k} \parallel [100]$ ,  $\mathbf{u} \parallel [120]$ ) acoustic modes. The absolute values of sound velocities for these modes at 2 K are  $v_{11} = 6057 \pm 50 \text{ m/s}$ ,  $v_{33} = 6455 \pm 50 \text{ m/s}$ ,  $v_{44} = 3432 \pm 50 \text{ m/s}$ , and  $v_{66} = 3504 \pm 50 \text{ m/s}$ .

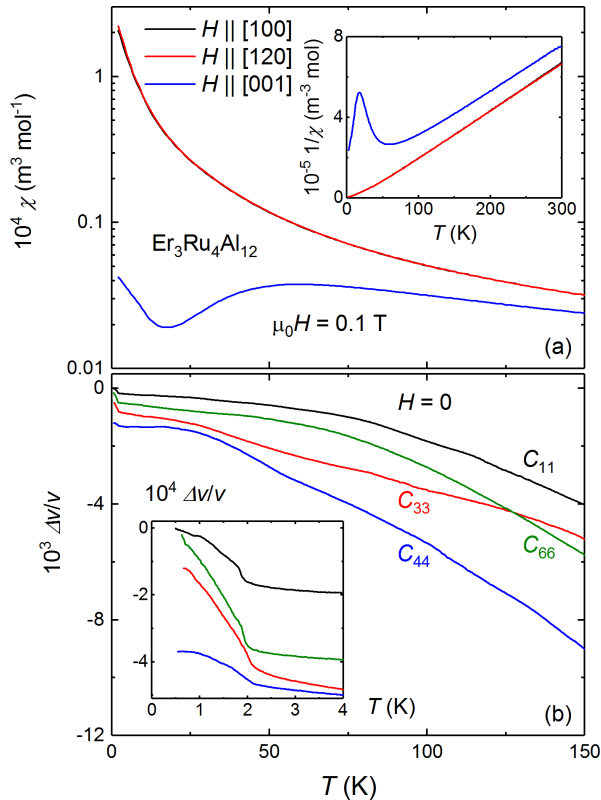


FIG. 1: Temperature dependences of the (a) magnetic susceptibility,  $\chi$ , and (b) relative sound velocity,  $\Delta v/v$ , for various acoustic modes in  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ . The inset in panel (a) shows the inverse magnetic susceptibility,  $1/\chi$ . The inset in panel (b) shows  $\Delta v/v$  at low temperatures. The ultrasound frequencies were 98, 97, 26, and 100 MHz for the acoustic  $C_{11}$ ,  $C_{33}$ ,  $C_{44}$ , and  $C_{66}$  modes, respectively.

### III. RESULTS

$\text{Er}_3\text{Ru}_4\text{Al}_{12}$  does not show magnetic ordering above 2 K as can be seen from the magnetic susceptibility,  $\chi = M/H$ , where  $M$  and  $H$  are the magnetization and magnetic field, respectively [Fig. 1(a)]. The minimum in  $\chi$  vs.  $T$  for  $H \parallel [001]$  can be explained by CEF effects (see Sec. IV). At high temperatures,  $\chi$  follows the Curie-Weiss law [inset in Fig. 1(a)]:

$$\chi = \frac{C_0}{T - \theta}, \quad (1)$$

where  $C_0$  is the Curie constant proportional to the square of the effective magnetic moment,  $\mu_{\text{eff}}$ , and  $\theta$  is the Weiss or paramagnetic Curie temperature. As listed in Table I,  $\mu_{\text{eff}}$  is in good agreement with the theoretical value,  $9.59 \mu_B$ , for a  $\text{Er}^{3+}$  ion. The large difference between the  $\theta$  values for the basal plane and  $[001]$  direction,  $\approx 50$  K, points to the large magnetic anisotropy of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ .

Relative sound velocity,  $\Delta v/v$ , increases with decreasing temperature [Fig. 1(b)]. Our measurements in a He-3 cryostat reveal additional hardening for all acous-

TABLE I: Effective magnetic moments,  $\mu_{\text{eff}}$ , per Er atom and paramagnetic Curie temperatures,  $\theta$ , for field applied along the principal crystallographic directions of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  obtained from fits in the listed temperature ranges.

	$H \parallel [100]$	$H \parallel [120]$	$H \parallel [001]$
$\mu_{\text{eff}}$ ( $\mu_B/\text{Er}$ )	9.5(1)	9.5(1)	9.6(1)
$\theta$ (K)	18(1)	17(1)	-32(1)
Temperature range (K)	100-300	100-300	150-300

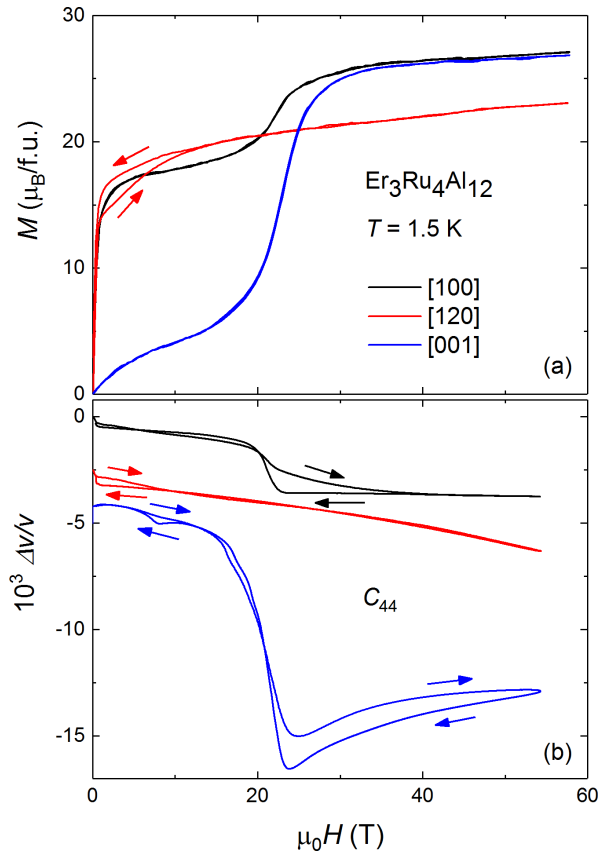


FIG. 2: Field dependences of the (a) magnetization,  $M$ , and (b) relative sound velocity,  $\Delta v/v$ , for field applied along the principal crystallographic directions of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  at 1.5 K. The ultrasound frequencies were 190, 206, and 203 MHz for field applied along the  $[100]$ ,  $[120]$ , and  $[001]$  axes, respectively.

tic modes below 2 K [inset in Fig. 1(b)] due to a phase transition into a magnetically ordered state.

Furthermore,  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  shows anomalies in the magnetization and sound velocity in applied field. The easy magnetization direction lies in the basal plane, the  $[001]$  axis is the hard direction [Fig. 2(a)]. For field applied along the  $[100]$  and  $[001]$  axes,  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  exhibits field-induced transitions at about 20 T. For  $H \parallel [100]$ , the magnetization grows from 20 to 26  $\mu_B/\text{f.u.}$ , whereas for  $H \parallel [001]$ , it increases from 6 to 25  $\mu_B/\text{f.u.}$  Above the magnetization jumps, the full saturation is reached. For this state, the magnetization can be calculated as follows:  $M_{\text{sat}} = 3 \times M_{\text{Er}} = 3 \times 9 = 27 \mu_B/\text{f.u.}$ , where  $M_{\text{Er}} = 9 \mu_B$

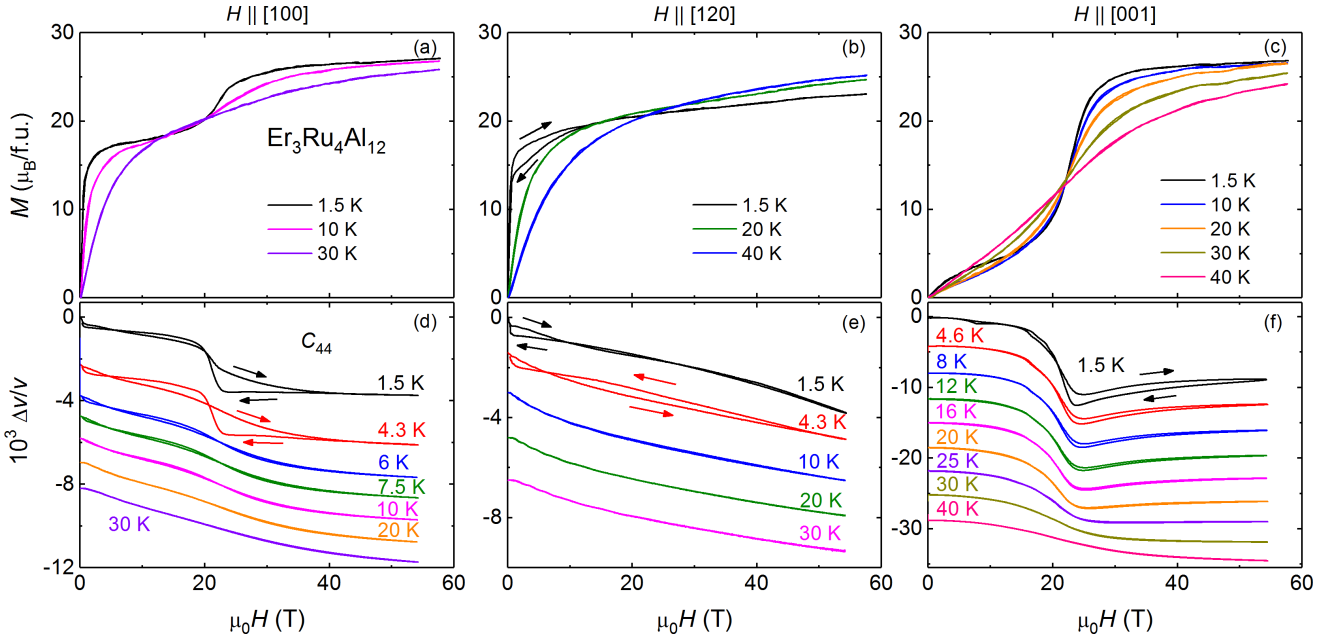


FIG. 3: Field dependences of the magnetization,  $M$ , and relative sound velocity,  $\Delta v/v$ , for field applied along the (a) and (d) [100], (b) and (e) [120], and (c) and (f) [001] axes of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  between 1.5 and 40 K. The ultrasound frequencies were 190, 206, and 203 MHz for field applied along the [100], [120], and [001] axes, respectively.

is the magnetic moment per  $\text{Er}^{3+}$  ion. No anomalies are observed for  $\mathbf{H} \parallel [120]$  except hysteresis below 11 T. For this field direction, the magnetization in the highest field is  $23 \mu_B/\text{f.u.}$

We investigated the relative sound velocity for the transverse elastic modulus  $C_{44}$  in pulsed magnetic fields [Fig. 2(b)].  $\Delta v/v$  shows a pronounced softening of  $\approx 0.002$  and  $\approx 0.01$  at the field-induced anomalies for field applied along the [100] and [001] axes, respectively. For  $\mathbf{H} \parallel [001]$ , an additional feature is observed at 8 T when the magnetic field is swept down. The origin of this anomaly is not clear. Similarly to the magnetization,  $\Delta v/v$  displays hysteresis at low fields for  $\mathbf{H} \parallel [120]$ .

To study the observed features in more detail, we performed magnetization and ultrasound experiments in pulsed magnetic fields at elevated temperatures (Fig. 3). For field applied along the [100] axis, the anomalies in the magnetization and sound velocity are no longer observed above 10 K [Figs. 3(a) and (d)]. For  $\mathbf{H} \parallel [120]$ , the hysteresis in  $M$  and  $\Delta v/v$  disappears above 4.3 K [Figs. 3(b) and (e)]. For  $\mathbf{H} \parallel [001]$ , the transitions can be resolved up to 30 K [Figs. 3(c) and (f)]. The origin of the anomalies will be discussed in Sec. IV.

#### IV. CEF ANALYSIS

Most of the anomalies in the magnetic and elastic properties of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  originate from CEF effects. We use the CEF model [27, 28] to describe our observations. We consider the following Hamiltonian:

$$H_{\text{eff}} = H_{\text{CEF}} - \sum_i g_i O_i \varepsilon_i - \sum_i g'_i \langle O_i \rangle O_i - g_j \mu_B J H, \quad (2)$$

where the first, second, third, and fourth terms are the CEF, strain-quadrupole, quadrupole-quadrupole, and Zeeman energy, respectively.  $g_i$  is the strain-quadrupole coupling constant,  $O_i$  is the quadrupole operator,  $\varepsilon_i$  is the strain,  $g'_i$  is the quadrupole-quadrupole coupling constant,  $\langle O_i \rangle$  is a thermal average of the operator  $O_i$ ,  $g_j = 1.2$  is the Landé factor, and  $J = 15/2$  is the total angular momentum of a  $\text{Er}^{3+}$  ion. For a hexagonal symmetry, the CEF term is given by

$$H_{\text{CEF}} = B_2^0 O_2^0 + B_4^0 O_4^0 + B_6^0 O_6^0 + B_6^6 O_6^6, \quad (3)$$

where  $B_m^n$  are crystal-field parameters and  $O_m^n$  are Stevens' equivalent operators [29].

We start our analysis by calculating the matrix elements of the nonperturbed Hamiltonian, i.e., without the strain-quadrupole and quadrupole-quadrupole interactions. In this case, the eigenvectors and eigenvalues correspond to the wavefunctions and energies of the CEF states. Next, we included the quadrupolar interactions as a perturbation and calculated the elastic modulus  $C_{44}$ :

$$C_{44}(T) = C_{44}^{(0)}(T) - \frac{N_0 g_i^2 \chi_s(T)}{1 - g'_i \chi_s(T)}, \quad (4)$$

where  $C_{44}^{(0)}(T)$  is the background stiffness,  $N_0 = 9.525 \times 10^{27} \text{ m}^{-3}$  is the number of Er ions per unit volume, and  $\chi_s$  is the strain susceptibility [27].  $C_{44}^{(0)}(T)$  could be expressed as  $a + bT^2 + cT^4$ , where the second term is

TABLE II: CEF parameters,  $B_m^n$  (K), for  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ .

$B_2^0$	$B_4^0$	$B_6^0$	$B_6^6$
0.22(3)	-0.0055(3)	0.0000433(5)	-0.00063(5)

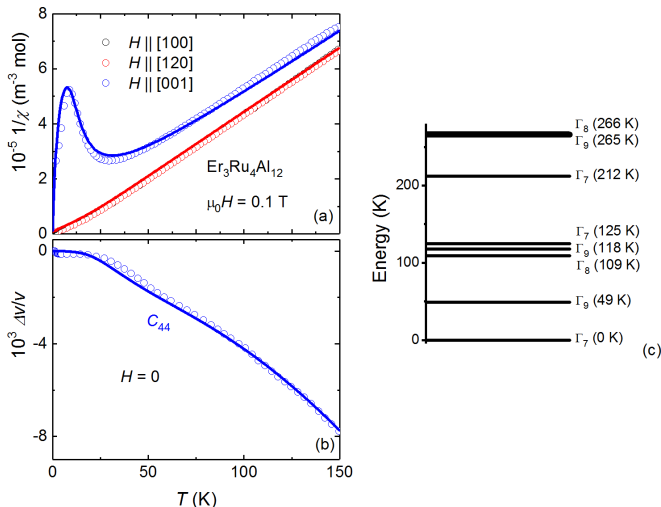


FIG. 4: Temperature dependences of the (a) inverse magnetic susceptibility,  $1/\chi$ , and (b) relative sound velocity,  $\Delta v/v$ ; and (c) CEF level scheme of a  $\text{Er}^{3+}$  ion in  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  obtained from the CEF parameters listed in Table II (see text for details). In panels (a) and (b), the symbols and solid lines represent the experimental and calculated data, respectively.

the contribution of the electronic states other than the  $4f$  electrons and the third term is the phonon contribution [30]. We used  $a = 76.81 \text{ GPa}$ ,  $b = -5.42 \times 10^{-5} \text{ GPa K}^{-2}$ , and  $c = 1.688 \times 10^{-10} \text{ GPa K}^{-4}$  and approximated the relative sound-velocity changes as  $\frac{\Delta v}{v} = \frac{1}{2} \frac{\Delta C}{C}$ .

We also performed the CEF analysis of the magnetic susceptibility and magnetization in a similar way as in Ref. [28]. Additional information on the CEF analysis can be found, e.g., in Refs. [15, 16, 20].

Based on our experimental findings and CEF analysis, we propose the following CEF scheme for  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$ . In a hexagonal CEF, the 16-fold multiplet of a  $\text{Er}^{3+}$  ion splits in 8 doublets [Fig. 4(c)]. The ground state is a  $\Gamma_7$  doublet. The first excited doublet is found at 49 K, the second excited doublet at 109 K, and so on. The overall CEF splitting is below 300 K.

Using the CEF parameters listed in Table II, we can reproduce the temperature dependences of the magnetic susceptibility [shown as  $1/\chi$ , Fig. 4(a)] and relative sound-velocity changes [Fig. 4(b)]. For  $1/\chi$ , the maximum for  $\mathbf{H} \parallel [001]$  corresponds predominantly to changes in the population of the first excited level at 49 K with temperature. For  $\Delta v/v$ , we obtained  $|g| = 20 \text{ K}$  and  $g' = -0.24 \text{ K}$  pointing to antiferroquadrupolar interactions. These values agree well with those of isostructural  $\text{Dy}_3\text{Ru}_4\text{Al}_{12}$ ,  $\text{Ho}_3\text{Ru}_4\text{Al}_{12}$ , and  $\text{U}_3\text{Ru}_4\text{Al}_{12}$  for which antiferroquadrupolar interactions were also proposed [15, 16, 20].

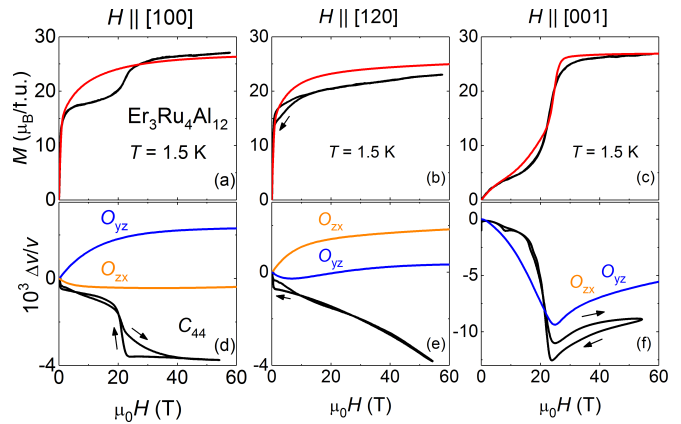


FIG. 5: Field dependences of the magnetization,  $M$ , and relative sound velocity,  $\Delta v/v$ , for field applied along the (a) and (d) [100], (b) and (e) [120], and (c) and (f) [001] axes of  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  at 1.5 K. The black curves are experimental data, the red, blue, and orange curves are the corresponding calculations within the CEF model.

Our CEF analysis explains qualitatively the  $M$  vs.  $H$  and  $\Delta v/v$  vs.  $H$  dependences for field applied along the [001] direction [Figs. 5(c) and (f)]. The jump in the magnetization and the minimum in the relative sound velocity (for both quadrupolar operators,  $O_{yz}$  and  $O_{zx}$ , for the elastic modulus  $C_{44}$  in hexagonal symmetry) around 20 T are reproduced. This proves that the anomalies observed for  $\mathbf{H} \parallel [001]$  are caused by CEF effects.

However, the CEF model cannot explain the field dependences of the magnetization and sound velocity for  $\mathbf{H} \parallel [100]$  and  $\mathbf{H} \parallel [120]$  [Figs. 5(a), (b), (d), and (e)], which suggests that factors other than the CEF effects are in play. For field applied along the [100] direction, there is no anomaly in the calculated curves [Figs. 5(a) and (d)]. For  $\Delta v/v$ , we used two quadrupolar operators,  $O_{yz}$  and  $O_{zx}$ . Although  $\Delta v/v$  shows softening for  $O_{zx}$ , the overall effect is much smaller than in experiment. Since the anomalies observed in experiment cannot be due to CEF transitions, one might assume that Er magnetic moments rotate in a step-wise manner in the ordered state. However, this anomaly is also observed in the paramagnetic state, e.g., at 4.3 K [Fig. 3(d)]. Alternatively,  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  can order magnetically in applied field. This is unlikely as well since the exchange interactions should be isotropic, leading to a similar anomaly for field applied along the [120] direction, which is not observed in experiment [Fig. 2(a)]. Further investigations are required to address this issue.

The  $M$  vs.  $H$  dependence is qualitatively reproduced for  $\mathbf{H} \parallel [120]$  [Fig. 5(b)]. However, our model predicts hardening of  $\Delta v/v$  in applied field, whereas softening of  $\Delta v/v$  is observed in experiment [Fig. 5(e)].

## V. CONCLUSION

We studied the magnetic and elastic properties of a  $\text{Er}_3\text{Ru}_4\text{Al}_{12}$  single crystal. An increase in longitudinal and transverse elastic moduli provides evidence for a phase transition at 2 K related to a magnetic ordering. In the paramagnetic state, we found anomalies in the magnetization and elastic moduli as a function of temperature and magnetic field. Based on a CEF analysis that includes quadrupolar interactions, we define a CEF level scheme that explains most of our observations. However, some field-induced anomalies cannot be accounted for by the CEF effects and require further studies.

## VI. ACKNOWLEDGMENTS

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