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## Point and extended defects in heteroepitaxial $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films

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### ABSTRACT

Ga<sub>2</sub>O<sub>3</sub> is emerging as an excellent potential semiconductor for high power and optoelectronic devices. However, the successful development of Ga<sub>2</sub>O<sub>3</sub> in a wide range of applications requires a full understanding of the role and nature of its point and extended defects. In this work, high quality epitaxial Ga<sub>2</sub>O<sub>3</sub> films were grown on sapphire substrates by metal-organic chemical vapor deposition and fully characterized in terms of structural, optical, and electrical properties. Then defects in the films were investigated by a combination of depth-resolved Doppler broadening and lifetime of positron annihilation spectroscopies and thermally stimulated emission (TSE). Positron annihilation techniques can provide information about the nature and concentration of defects in the films, while TSE reveals the energy level of defects in the bandgap. Despite very good structural properties, the films exhibit short positron diffusion length, which is an indication of high defect density and long positron lifetime, a sign for the formation of Ga vacancy related defects and large vacancy clusters. These defects act as deep and shallow traps for charge carriers as revealed from TSE, which explains the reason behind the difficulty of developing conductive

Ga<sub>2</sub>O<sub>3</sub> films on non-native substrates. Positron lifetime measurements also show nonuniform distribution of vacancy clusters throughout the film depth. Further, the work investigates the modification of defect nature and properties through thermal treatment in various environments. It demonstrates the sensitivity of Ga<sub>2</sub>O<sub>3</sub> microstructures to the growth and thermal treatment environments and the significant effect of modifying defect structure on the bandgap and optical and electrical properties of Ga<sub>2</sub>O<sub>3</sub>.

## I. INTRODUCTION

$\beta$ -Ga<sub>2</sub>O<sub>3</sub> has recently attracted a great deal of interest in optoelectronics and high-power devices due to its wide bandgap of 4.5- 4.9 eV [1]. It has potential applications in deep UV photodetectors [2,3], short-wavelength light-emitting diodes [4], transparent conducting oxides [5,6], high-power devices [7], and solar blind photodiodes [8]. Compared to other wide bandgap materials like SiC and GaN, it has a higher Baliga figure of merit and breakdown field, which makes it an excellent candidate for high power devices [7]. Full understanding of point and extended defects in Ga<sub>2</sub>O<sub>3</sub> is crucial for its further development for devices. Moreover, our recent work revealed that chemical manipulation of defects can induce both electron and hole conduction in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals [9].

There are five polymorphs of Ga<sub>2</sub>O<sub>3</sub>  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ,  $\epsilon$ ;  $\beta$  phase is the most stable and the only one that can be grown from the melt growth techniques including floating-zone (FZ), edge-defined film-fed growth, and Czochralski approaches which allows the possibility of mass production of large-size single crystals substrates [7,10-15].  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystallizes in monoclinic structure with lattice parameters of  $a = 12.2 \text{ \AA}$ ,  $b = 3.0 \text{ \AA}$  and  $c = 5.8 \text{ \AA}$  [7]. Substrate lattice and growth temperature play an important role in the creation of different phases in Ga<sub>2</sub>O<sub>3</sub> films. The formation of  $\beta$  phase Ga<sub>2</sub>O<sub>3</sub> occurs at high temperatures for both homo [16] and hetero-epitaxial growth [7]. Different

methods have been used for the growth of thin film  $\text{Ga}_2\text{O}_3$  such as molecular beam epitaxy (MBE) [3,17-19], halide vapor phase epitaxy (HVPE) [20-22], pulsed laser deposition (PLD) [23], mist chemical vapor deposition (mist-CVD) [24-25] and metal-organic chemical vapor deposition (MOCVD) [26-29]. Among these techniques, MOCVD is expected to produce  $\beta\text{-Ga}_2\text{O}_3$  films of enhanced crystalline quality due to the thermodynamically favorable growth process in this technique [26]. Also, MOCVD has a higher deposition rate than most of growth techniques, excellent compositional control, uniform coverage and is easy for large-scale production [30-31].  $\beta\text{-Ga}_2\text{O}_3$  has been deposited on different substrates including native substrates using the MOCVD technique; p-type Si substrate was used to deposit  $\text{Ga}_2\text{O}_3$  films by Kim et al. [27] at temperatures of 500-600 °C, which showed an increase in surface roughness of the films at increased temperature. Mi et al. [32] grew epitaxial  $\beta\text{-Ga}_2\text{O}_3$  films on the  $\text{MgAl}_2\text{O}_4$  substrate at different temperatures (550-700 °C). According to their results, 650 °C substrate temperature resulted in the best crystallinity of  $\beta\text{-Ga}_2\text{O}_3$  films. Differently oriented  $\text{Al}_2\text{O}_3$  and GaAs were also used as substrates for epitaxial growth of  $\beta\text{-Ga}_2\text{O}_3$  [28].

Although  $\beta\text{-Ga}_2\text{O}_3$  is the most well studied phase of  $\text{Ga}_2\text{O}_3$ , little is understood about its native defects. In this work, we investigate defects in epitaxial  $\beta\text{-Ga}_2\text{O}_3$  films grown by the MOCVD method employing various characterization techniques.  $\text{Al}_2\text{O}_3$  (0001) (C-sapphire) was used as a substrate and the grown films were annealed in different environments to populate different types of defects in  $\text{Ga}_2\text{O}_3$ . The Structural characteristics of the films were analyzed by X-ray diffraction (XRD) and atomic force microscopy (AFM) techniques. The optical and electrical properties of the films were determined by UV-Vis spectroscopy and Hall Effect measurements. Atomic force microscopy (AFM) was also applied to study the surface morphology of the films before and after annealing. Then, thermal stimulated emission (TSE) in conjunction with depth-resolved positron

annihilation lifetime spectroscopy (PALS) and Doppler broadening spectroscopy (DBS) were used to study point defects in the films.

## **II. EXPERIMENTAL DETAILS**

### **A. Fabrication of Epitaxial Ga<sub>2</sub>O<sub>3</sub> Films**

One-side polished sapphire substrates of about 5 cm diameter with C orientation were used to grow Ga<sub>2</sub>O<sub>3</sub> by the MOCVD technique. Substrates were cleaned in organic solvents, then dried by compressed nitrogen before loading them into the reactor. The epitaxial growth of Ga<sub>2</sub>O<sub>3</sub> took place in a vertical geometry cold wall reactor (Agnitron Inc.) for 1.5 hours. Trimethylgallium (TMGa) (Ga(CH<sub>3</sub>)<sub>3</sub>) was used as a metal-organic source and kept in a bubbler set at a temperature of -10 °C and pressure of 900 Torr. TMGa vapor was introduced to the reactor using high purity Ar (99.999%) that acts as a carrier gas. Pure oxygen gas (99.999%) was used as a precursor for oxygen. The substrate was rotating at 800 rpm during the growth process to allow better coverage and produce uniform films. The substrate temperature was 740 °C during the growth and the chamber pressure was kept at 65 Torr. To populate different types of point defects, the Ga<sub>2</sub>O<sub>3</sub> films grown on sapphire were cut to 1cm x1cm pieces and annealed in two different environments, either Ar flow or ambient air for one hour at 1000 °C.

### **B. Structural and Optical Characterization**

The structural properties of the films were analyzed by X-ray diffraction (XRD); their XRD patterns were recorded using a Rigaku diffractometer [33]. Atomic Force Microscopy (AFM) measurements were performed to investigate the surface morphology of the films. A double beam UV-Vis Perkin Elmer spectrometer was used to record the optical absorption spectra from 190 nm to 1100 nm, and the optical band gap of Ga<sub>2</sub>O<sub>3</sub> films was then calculated from the absorption

spectra using Tau equation [34-35]. The electrical transport properties of the films were measured using Hall-effect system in Van der Pauw configuration described elsewhere [36].

### **C. Defect Spectroscopy**

In order to identify point defects in the films and calculate their activation energies, TSE and positron annihilation spectroscopies were used. TSE measurements were carried out using a spectrometer that was built in house by one of the authors. The details of the spectrometer and the methods of analysis are described elsewhere [37-39]. Samples were irradiated with UV light using a pulsed xenon lamp for 30 minutes at liquid nitrogen (77 K) and ramp-up to 673 K. The measurements were carried out at two heating rates of 60 K/min and 120 K/min. Depth-resolved positron annihilation spectroscopy (PAS) was used to study vacancy type defects in the as-grown and annealed Ga<sub>2</sub>O<sub>3</sub> thin films. The PAS measurements were performed at the positron facility in the Helmholtz-Zentrum Dresden-Rossendorf (HZDR) in Dresden, Germany [40]. The Slow-Positron System of Rossendorf (SPONSOR) beamline featuring a continuous DC monoenergetic beam was used to perform Doppler broadening spectroscopy (DBS) with incident positron energies in the range of 0.04-17 keV [40,41]. At each energy, the characteristic 511 keV gamma was recorded via a high purity Ge detector with an energy resolution in the order of  $1.09 \pm 0.01$  keV. The line shape and wing parameters, namely, ‘S’ and ‘W’, were constructed from the experimental data by measuring the relative change in the characteristic 511keV peak induced due to the Doppler shift of low-momentum valence electrons and high-momentum core electrons respectively. Details about DBS spectroscopic measurements and analysis can be found elsewhere [42-43]. In addition, the Electron Linac for beams with high Brilliance and low Emittance (ELBE) positron facility also at the HZDR, Germany features depth-resolved PALS capabilities at the

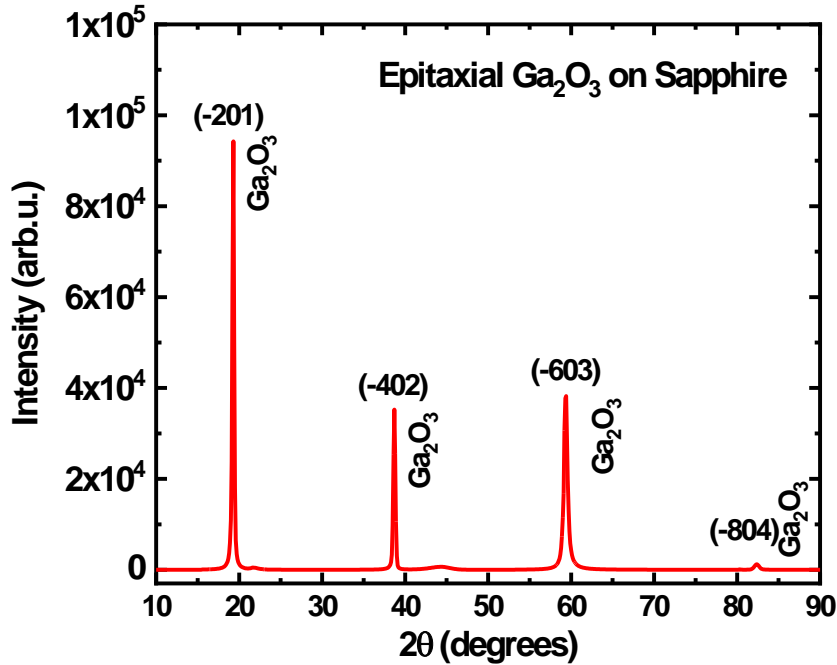
Monoenergetic Positron Spectroscopy (MePS) beamline with a positron flux of  $\sim 10^6/\text{s}$ . PALS measurements were performed for incident positron energies between 2-16 keV and more than 5 million counts were collected at each beam energy for good statistics [40]. The lifetime spectra were acquired as time derivative of the positron decay events and the resolution function was determined to be  $< 230$  ps. The resultant spectra were deconvoluted into individual lifetime components using the PALSfit software [44].

### III. RESULTS AND DISCUSSION

#### A. Structural Characterization

FIG. 1 shows the XRD patterns of  $\text{Ga}_2\text{O}_3$  films grown on a sapphire substrate (0001) at a temperature of 740 °C and pressure of 65 Torr. The peak corresponding to sapphire (around  $2\theta \sim 42^\circ$ ) was removed from the XRD pattern. The figure shows four peaks located at  $2\theta \sim 19.30^\circ$ ,  $38.70^\circ$ ,  $59.40^\circ$ ,  $82.40^\circ$  corresponding to  $\beta\text{-Ga}_2\text{O}_3$  ( $\bar{2}01$ ), ( $\bar{4}02$ ), ( $\bar{6}03$ ), and ( $\bar{8}04$ ). The XRD pattern indicates that the films are single phase of  $\beta\text{-Ga}_2\text{O}_3$  and epitaxial with single orientation along  $[\bar{2}01]$  direction. Growth temperature is a key factor to control the crystal structure of  $\text{Ga}_2\text{O}_3$  and produce epitaxial films. There are previous reports on obtaining epitaxial single phase  $\beta\text{-Ga}_2\text{O}_3$  films at different temperatures and pressures. Besides temperature and pressure, other factors such as flow rate and substrate orientation may affect the crystallinity and epitaxial nature of the films. In this work, high quality epitaxial  $\beta\text{-Ga}_2\text{O}_3$  films were obtained at 740 °C and 65 Torr while Lv et al. [45] reported 650 °C as the best temperature for epitaxial growth of  $\beta\text{-Ga}_2\text{O}_3$  films on a sapphire substrate using growth pressure of 20 Torr. They showed that by increasing the temperature above 650 °C, the film's structure changed to polycrystal whereas, in the current study, temperatures below 740 °C result in polycrystalline films, however, the growth pressure was 65 Torr. Similarly, Boschi et al. [46] reported that 715 °C is the minimum temperature required to

obtain epitaxial  $\beta$ - $\text{Ga}_2\text{O}_3$  films and  $650^\circ\text{C}$  resulted in the formation of  $\varepsilon$ - $\text{Ga}_2\text{O}_3$  films at 100 mbar growth pressure.

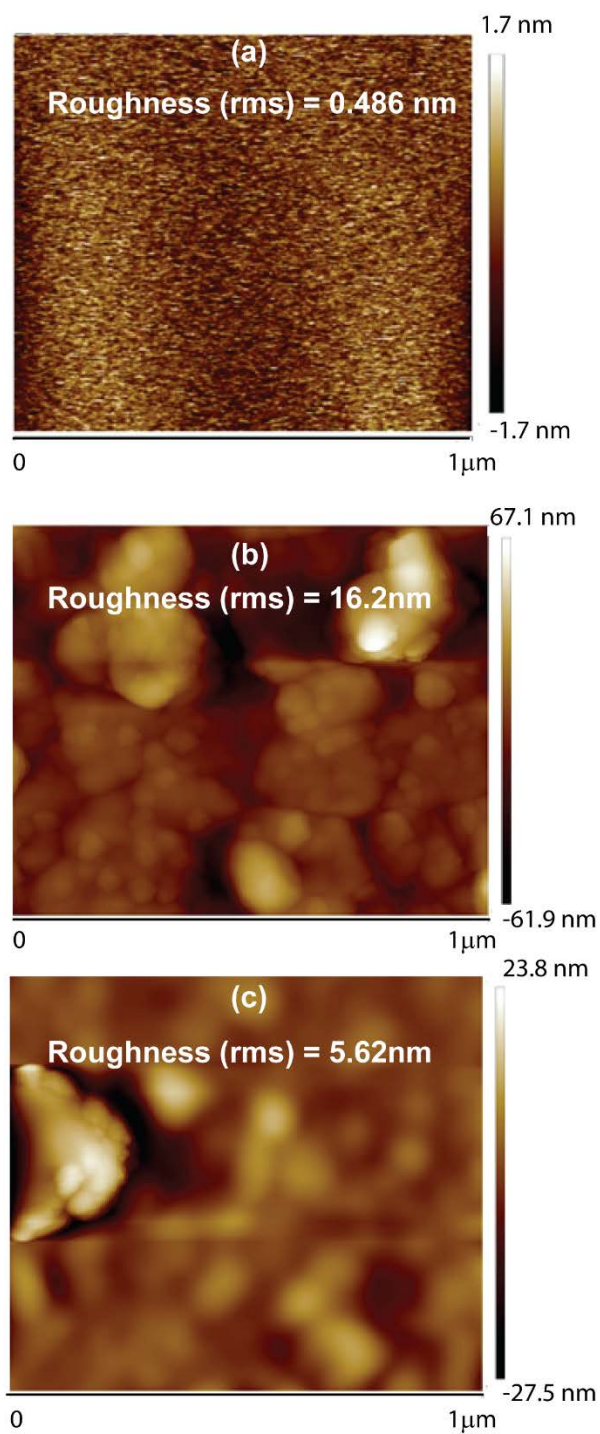


**FIG. 1.** XRD pattern of  $\text{Ga}_2\text{O}_3$  film grown on a sapphire substrate (0001) at a growth temperature of  $740^\circ\text{C}$ .

As mentioned earlier, we used two different environments (Ar and air) to anneal  $\beta$ - $\text{Ga}_2\text{O}_3$  films after MOCVD growth. The morphology of the as-grown and annealed films were then investigated by AFM measurements. FIGs. 2 (a), (b), and (c) show how the surface of the film changed after annealing in various environments. The root-mean-square (RMS) roughness values of the as-grown and Ar- and air-annealed films are 0.486 nm, 16.2 nm, and 5.62 nm, respectively. As can be seen from the figures, the surface of as-grown  $\beta$ - $\text{Ga}_2\text{O}_3$  film is flat and uniform, while annealing in different environments prominently increased the roughness of the film, especially after Ar-anneal. The smooth surface of the as-grown film from AFM measurements and the very intense and narrow diffraction peaks observed in XRD measurements confirm the high quality of the films



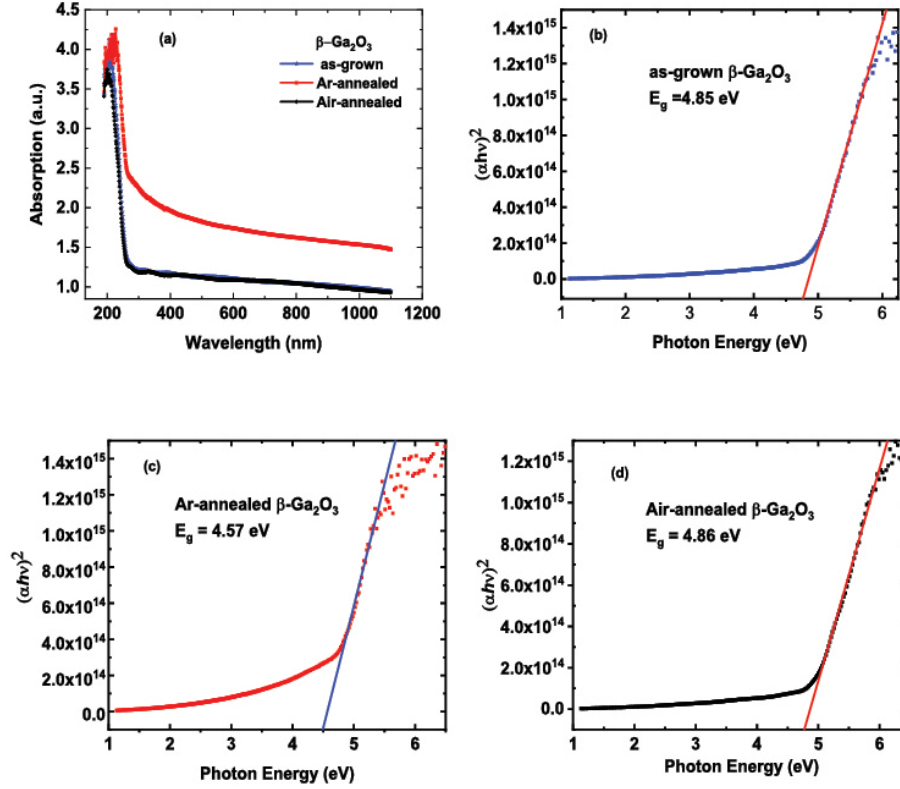
grown by MOCVD. The FWHM of  $0.27^\circ$ ,  $0.30^\circ$ ,  $0.56^\circ$ ,  $0.70^\circ$  were calculated for (-201), (-402), (-603), and (-804) respectively.



**FIG. 2.** AFM images of  $\text{Ga}_2\text{O}_3$  films grown by MOCVD on a sapphire substrate (0001) at a growth temperature of  $740^\circ\text{C}$ : (a) as-grown, (b) annealed in Ar flow, (c) annealed in  $\text{O}_2$  flow.

## B. Optical properties of Ga<sub>2</sub>O<sub>3</sub> films

FIG. 3 (a) shows the optical absorption spectrum in the range of 190-1100 nm for the as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films grown on sapphire by MOCVD technique. The as-grown, Ar-annealed and air-annealed samples showed a strong absorption peak below 275 nm (4.5 eV). The Ar-annealed sample shows much higher absorption starting from 275 nm through the visible region and near-infrared (NIR) region compared to other samples in addition to a shift in the optical band gap, this can be seen from the sample color which turned bluish after annealing.



**FIG. 3.** Optical Measurements. (a) Room-temperature optical absorbance spectra of as-grown, Ar-annealed, and air-annealed films, (b) Plot of  $(\alpha h\nu)^2$  as a function of photon energy for the as-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> film.  $\alpha$  is the absorption coefficient, (c) Plot of  $(\alpha h\nu)^2$  as a function of photon energy for the Ar-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> film, (d) Plot of  $(\alpha h\nu)^2$  as a function of photon energy for the air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> film.

The optical band gap energy was calculated from the absorption coefficient ( $\alpha$ ) given by  $\alpha = 2.303 \left( \frac{A}{d} \right)$  Where A is the absorbance that is measured by UV-Vis spectrometer and d is the film thickness. Using Tauc equation [47-49] for direct bandgap materials, the relation between absorption coefficient and photon energy can be written as  $\alpha hv \propto (hv - E_g)^{1/2}$ , where  $\alpha$  is the absorption coefficient and  $E_g$  is the bandgap. After plotting  $(\alpha hv)^2$  versus photon energy  $hv$ , bandgap energy  $E_g$  can be estimated by extrapolation of the linear part to  $\alpha hv=0$ . The optical band gap energy of 4.85 eV, 4.57 eV, and 4.86 eV was calculated for as-grown, Ar-annealed, and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films, respectively as shown in FIGs. 3 (b), (c), and (d).

### C. Electrical properties of Ga<sub>2</sub>O<sub>3</sub> films

Hall effect measurements were carried out on as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films using Van der Pauw configuration and the sheet resistance and sheet number (Sheet Carrier Concentration) of the films are presented in Table I. The sheet resistance and sheet number of the as-grown films are  $8.13 \times 10^8 \Omega / \text{cm}^2$  and  $7.42 \times 10^6 \text{ cm}^{-2}$ , which is consistent with high resistive undoped films and indicates the absence of background conductivity. After annealing in air, the sample became too resistive to measure its electrical properties, which is similar to the results attained by Galazka et al. [50]. However, annealing in Ar flow slightly decreases the sheet resistance to  $1.88 \times 10^8 \Omega / \text{cm}^2$ . All samples were transparent even after annealing except the samples that were annealed in Ar, which changed to blue color and has the lowest sheet resistance and highest sheet number. Comparing with the growth of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals under different conditions by Galazka et al. [50], the samples that were grown in CO<sub>2</sub> + Ar environment, have a blue color and show higher conductivity than transparent samples, same as the Ar-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films in this study.

**TABLE I.** Hall effect measurements for as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films grown on sapphire by MOCVD technique.

<b>Samples</b>	<b>Sheet resistance (<math>\Omega/\text{cm}^2</math>)</b>	<b>Sheet number (<math>\text{cm}^{-2}</math>) (Sheet Carrier Concentration)</b>
<b>Ga<sub>2</sub>O<sub>3</sub>- as-grown</b>	8.13x10 <sup>8</sup>	7.42x10 <sup>6</sup>
<b>Ga<sub>2</sub>O<sub>3</sub>- Ar-annealed</b>	1.88x10 <sup>8</sup>	9.50x10 <sup>6</sup>
<b>Ga<sub>2</sub>O<sub>3</sub>- air-annealed</b>	Too resistive	Too resistive

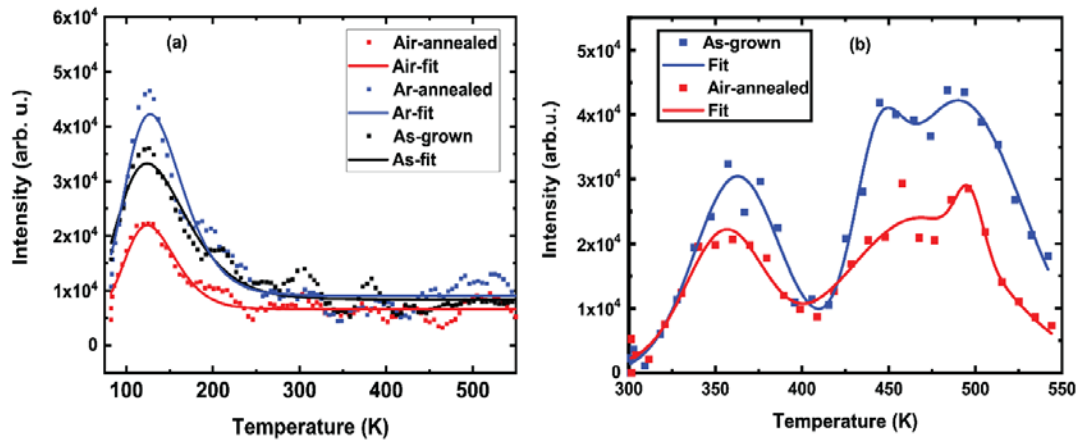
#### **D. Defect studies**

Positron annihilation spectroscopy (PAS) has been established as a powerful technique for identifying vacancy type defects in oxides and semiconductors as it can provide information about the type and density of point defects in the lattice [42,43, 51-55]. In this work, depth-resolved PALS and DBS measurements in conjunction with TSE spectroscopy were utilized to investigate point defects in hetero-epitaxial  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films and understand the effect of different annealing environments on the defect structures and the optical and electrical properties of the films.

##### ***1. TSE measurements and trap levels calculations***

We have established the use of TSE or thermal stimulated luminescence spectroscopy technique for the calculations of activation energy of defects in a wide range of oxides in different forms of nanostructures, transparent ceramics and single crystals. [56-59]. In this work, TSE measurements were carried out on as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films, and thermal activation energy of shallow and deep level electronic defects was calculated. Figure 4 (a) shows TSE curve as a function of temperature for as-grown, Ar-annealed and Air-annealed samples. As can be seen from the figure, all films show a peak at low temperature, TSE intensity decreases after annealing in air and increases after annealing in argon flow. The activation energy of the trap associated with these

peaks was calculated using the initial-rise method [60] and summarized in TABLE II. It was found to be 0.027 eV for as-grown films and changed to 0.024 eV and 0.020 eV after annealing in Ar- and air-flow, respectively. Although all films did not show much conductivity, the Ar-annealed sample was more conductive than as-grown and air annealed sample was too resistive to be measured with our system. Because this change of conductivity is associated with the corresponding change in the intensity of TSE peaks (FIG. 4 (a)), we expect that these TSE peaks at low temperature are related to defects that act as shallow donors and induce low conductivity in the films. These defects are most likely related to oxygen vacancy complex defects, which have been filled after annealing in air and result in reducing the TSE intensity and conductivity of the films. FIG. 4 (b) presents high temperature TSE glow curves for as-grown and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. It shows two broad emission peaks for both films at high temperatures. No emission was detected after Ar flow. TSE peaks are reduced after annealing the sample in air, which may be due to the decrease of luminescence center concentrations.



**Figure 4.** TSE measurements on as-grown and annealed samples. (a) Low temperature TSE glow curve for as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. The measurements were carried out at 60 K/min, (b) High temperature TSE glow curves for as-grown and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. The measurements were carried out at 120 K/min. No emission at high temperatures appeared after Ar anneal. The solid lines represent the Gaussian multi-peaks fits of the experimental data (symbols).

**TABLE II.** Thermal activation energies of shallow level defects in as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. Initial rise method was used for calculating activation energies. The heating rate was 60 K/min.

<b>Samples</b>	<b>Temperature associated with maximum intensity (K)</b>	<b>Activation energy (eV)</b>
<b>As-grown</b>	127	0.027±0.003
<b>Ar-annealed</b>	126	0.024±0.003
<b>Air-annealed</b>	126	0.020±0.002

The thermal activation energies of the trap levels associated with these peaks for as-grown and air-annealed films are summarized in TABLE III. For as-grown samples, the activation energies are 0.707 eV and 0.722 eV associated with 362.77 K and 479.70 K. Annealing in the air not only decreased the intensity of the TSE peak but also changed the defect activation energies to 0.540 eV and 0.431 eV. There are some reports that investigated deep level defects in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> [60-65] bulk single crystals and it is useful to compare these reports with the current measurements. Recently, Ingebrigtsen et al. [65] reported two defect levels very close to each other located at 0.78 eV and 0.75 eV. They believe that Fe<sub>Ga</sub> and a native defect or defect complex are responsible for these deep level defects, respectively. Several defect levels associated with oxygen and gallium vacancies have been reported by Gao et al. [64] using deep level transient spectroscopy. Islam et al. [60] also used TSE spectroscopy to study trap levels in undoped and doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals. In this previous work, the deep trap level with 0.751 eV activation energy reported for undoped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> associated with 458.15 K is close to the second peak we observe in the current as-grown heteroepitaxial film.

**TABLE III.** Thermal activation energies of deep-level defects in as-grown and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. Initial rise method was used for calculating activation energy. The heating rate was 120 K/min.

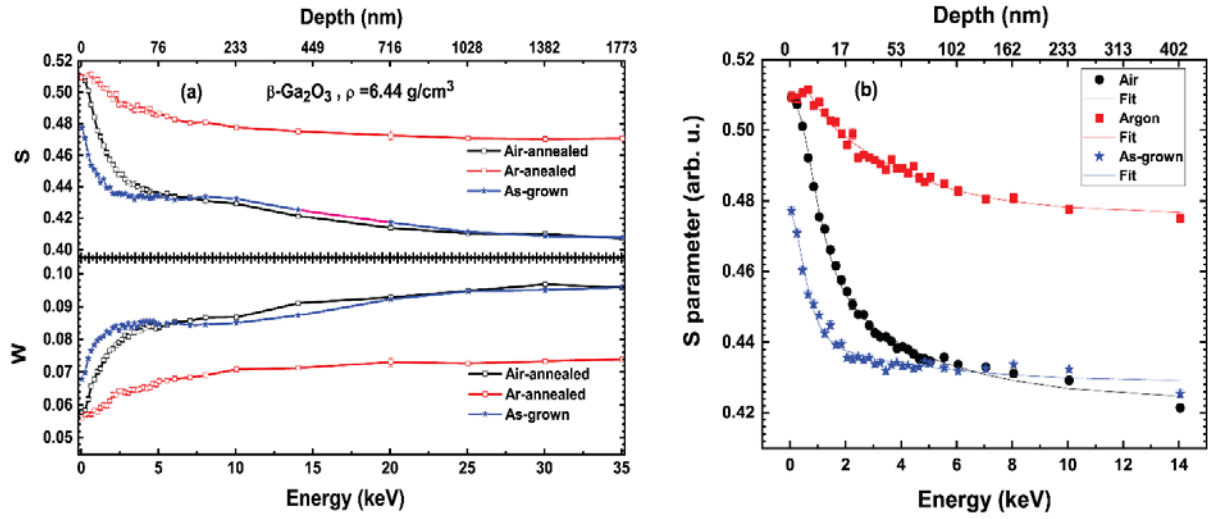
<b>Samples</b>	<b>Temperature associated with maximum intensity (K)</b>	<b>Activation energy (eV)</b>
<b>As-grown</b>	363	0.707±0.029
<b>As-grown</b>	480	0.722±0.027
<b>Air-annealed</b>	360	0.540±0.031
<b>Air-annealed</b>	472	0.431±0.034

Assuming that the traps in the as-grown sample are associated with V<sub>O</sub>-V<sub>Ga</sub> complexes, the oxygen vacancies in some of these complexes can be filled after air-anneal leading to a decrease in TSE. For Ar-annealed sample, no emission associated with deep traps at high temperatures was observed. One possibility is that the structure of defects has changed and they became inactive traps for charge carriers, or they might not be an active luminescence center anymore. While TSE measurements provide important information about the energy level of both shallow and deep defect centers in the bandgap, it cannot reveal the nature of these trapping defects. Only some assumptions can be made about their nature from combining annealing with TSE results. On the contrary, PAS cannot provide information about the energy levels of defects or their activation energies, however it is powerful technique to reveal the nature and charge state of defects in semiconductors and oxides as well as their concentration [51-55].

## 2. *Positron annihilation spectroscopy*

To understand the nature of trapping defects in Ga<sub>2</sub>O<sub>3</sub> films, we apply depth-resolved positron annihilation lifetime spectroscopy (PALS) and Doppler broadening spectroscopy (DBS). FIG. 5 (a) presents S and W parameters as a function of incident positron energy and mean implantation depth for as-grown and annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. S parameter (shape parameter) represents the annihilation fraction of positrons with valence electrons, which is defined as the number of annihilation events in the center of the spectrum, the low electron momentum part, divided by the total number of events in the peak. W parameter (wing parameter) represents the annihilation fraction of positrons with core electrons, defined as the number of annihilation events in the wings of the 511 keV peak, the high electron momentum part, divided by the total number of counts in the peak [42-43]. Positron trapping at defects leads to an increase in S parameter and a decrease in W parameter as the positron wave function overlaps more with valence electrons in the vacancy region. The high S value at the beginning of S-E curve in Fig. 5a is common in DBS due to positronium formation at the surface. Ar-annealed sample exhibits a very high S parameter throughout the film thickness indicating high content of defects. S values for as-grown and air-annealed films are very close throughout the thickness of the film except at the first 70 nm.





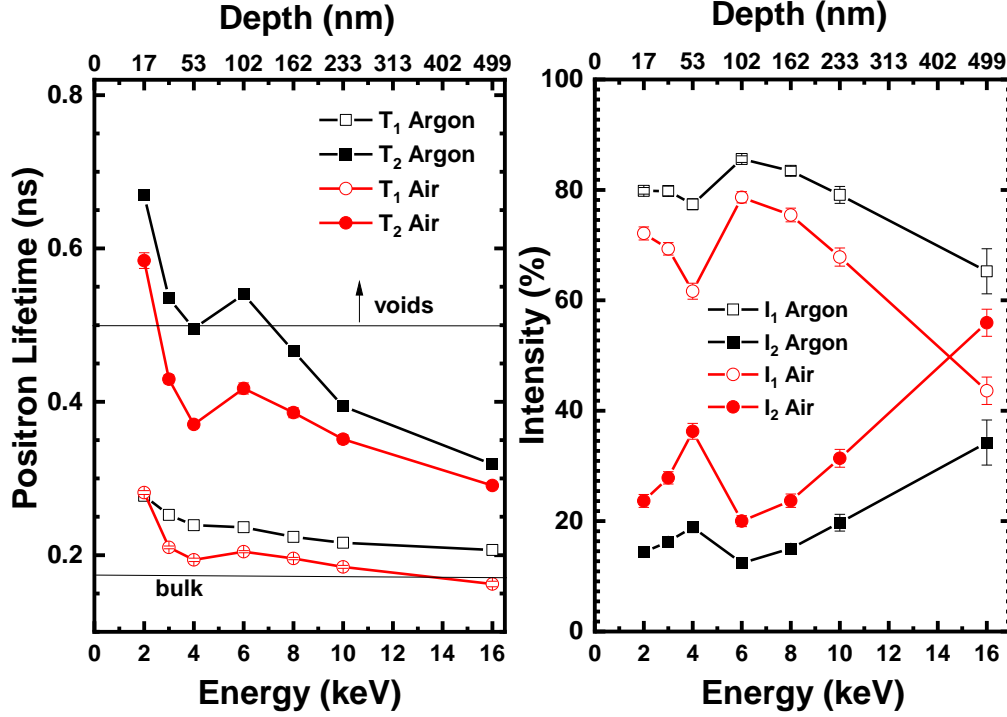
**FIG 5.** Results from DBS measurements for as-grown and annealed films. (a) S and W parameters as a function of positron beam energy and mean positron implantation depth for as-grown, Ar-annealed, and air-annealed  $\beta\text{-Ga}_2\text{O}_3$  thin films grown on sapphire by MOCVD technique, (b) Fitting of S(E) profiles using VEPFIT.

The fitting of S(E) profiles using VEPFIT code [66] is presented in FIG. 5 (b) to estimate the respective positron diffusion lengths ( $L_+$ ) for each sample [67].  $L_+$  is another direct indicator for the amount of defects in the structure as point defects trap and scatter positrons reducing their diffusion lengths. The VEPFIT program employs a fitting regime based on the solution of time-averaged positron density equation. For the fitting, the density of  $\beta\text{-Ga}_2\text{O}_3$  was taken as  $\sim 6.44 \text{ g/cm}^3$  and the annihilation rate was assumed to be  $\sim 5.68 \text{ ns}^{-1}$  based on the reported bulk lifetime value of 176 ps [68-69]. The input model used for the analysis was model ‘4’ [67] which applied different layered structures of defects. To avoid any contribution from the substrate, the fitting was carried out in the range 0-14 keV. The fitting required determination of two layers (layer-1: surface, layer-2: near-surface region and bulk of the film) for each sample. For air-annealed, the

width of layer-1 was determined to be ~28 nm and the positron diffusion length was found to be around 7 (0.2) nm. Similarly, for Ar-annealed and as-grown the surface layer atop of the films were found to be ~ 15 and 11 nm wide and the effective positron diffusion length ( $L_+$ ) values were found to be around 4 (0.4) and 2 (0.5) nm. In contrast, for the bulk-region of films, a low  $L_+$  of ~ 60 (1) nm was estimated for the Ar-annealed sample compared to  $L_+ = 84$  (2) nm for the air-annealed and 89 (4) nm for as-grown samples. The diffusion length ( $L_+$ ) in all films is much shorter than the 220-250 nm range, the typical bulk diffusion length commonly observed for most semiconductors and oxides [42-43] indicating high level of defects in all films.

We also performed depth-resolved PALS on Ar- and air- annealed  $\text{Ga}_2\text{O}_3$  films covering positron implantation energies from 2 to 16 keV corresponding to 0 to ~500 nm depth in the films. PALS measurements give invaluable information on the size of vacancies and vacancy clusters in oxides and semiconductors. At each beam energy, the positron lifetime spectrum was recorded and fitted using PALSfit code [44]. Two lifetime components were resolved at each depth, their values and intensities are presented in FIG. 6 (a) and (b). An additional third component with lifetime values in the range of 1-2 nm was also obtained and ignored due to its negligible intensity. This component is often observed in this spectrometer measurements; it is due to positron backscattering and contribution from annihilation at the surface. The positron lifetime values, which reflect the size of positron trapping sites, show a significant change as a function of depth (Fig. 6(a)). Similarly, their corresponding intensities, which are indicative of the relative defect density, are also quite different throughout the depth (Fig. 6(b)). Thus, the measurements reveal nonuniform distribution in the size and density of the vacancy clusters throughout the film depth. The values of the two lifetime components at all depths are much larger than  $\tau_B$ , the positron bulk lifetime of  $\text{Ga}_2\text{O}_3$ , which was reported to be around 176 ps [68-69]. This indicates complete

positron trapping at defects and that no positron annihilation took place in the bulk due to a high level of defects, which is consistent with the short positron diffusion lengths discussed above. Table IV compares the lifetime components in the bulk of the films around 102 nm depth where the largest lifetime components were observed. In air annealed sample, the first lifetime component  $\tau_1$  (~205 ps) is much longer than the bulk lifetime in Ga<sub>2</sub>O<sub>3</sub> and is in the range of the lifetime values of cation vacancies in most oxides. Because of that and because positron cannot be trapped in oxygen vacancies due to their positive charges, this lifetime component is expected to be associated with Ga vacancies. The second lifetime  $\tau_2$  (~417 ps) is quite high, indicating the presence of large extended vacancy clusters which could be vacancies in close proximity or small voids [42,70]. Ar annealing had led to a significant increase in  $\tau_1$  and  $\tau_2$ , signifying the increase of the cluster size. Annealing in Ar provides a neutral atmosphere and most likely led to the formation of clusters containing both anion and cation vacancies. In fact, these PALS measurements show that the complete suppression of TSE after Ar- annealing is a result of the modification of defect structures and the associated change in their charge states. These defects are responsible for the blue coloration of Ga<sub>2</sub>O<sub>3</sub> discussed above and the decrease in the optical band gap from 4.85 to 4.57 eV. Unfortunately, there was no as-grown sample available for PALS measurements, however DBS measurements in FIG. 5 (a) show close S(E) and W(E) curves in as-grown and air-annealed films throughout the depth. This indicates similar or close defect structure in the two films. Accordingly, it is reasonable to assume that the PALS measurements of air-annealed film give a good representation for the dominant defect structure in as-grown films.



**FIG. 6.** Positron lifetime components and corresponding intensities as a function of positron beam energy and mean positron implantation depth for Ar- and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films. The reported bulk and void lifetimes are marked on the graphs. The graphs reveal the presence of large vacancy clusters and indicate nonuniform distribution in their size and density

**TABLE IV.** Lifetime components and corresponding intensities at E=6 keV (102 nm) for Ar-annealed, and air-annealed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> thin films grown on sapphire by MOCVD technique. The average lifetime value is also presented.

Samples	$\tau_1$ (ns)	I <sub>1</sub>	$\tau_2$ (ns)	I <sub>2</sub>	$\tau_{avg}$ (ns)
Ga <sub>2</sub> O <sub>3</sub> -Ar	0.236±0.001	85.58	0.540±0.011	12.41	0.344±0.011
Ga <sub>2</sub> O <sub>3</sub> -air	0.205±0.001	78.67	0.417±0.007	20.00	0.287±0.013

#### IV. CONCLUSIONS

High quality  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystal thin films were grown by MOCVD on sapphire substrates in order to study point defects in heteroepitaxial Ga<sub>2</sub>O<sub>3</sub> films. PAS identified high level of Ga vacancy

related defects and revealed the presence of unusual large vacancy clusters in the films despite of the good structural quality of the films revealed from XRD measurements. By studying TSE from the films, point defects were shown to occupy both shallow and deep levels in the bandgap. However, no significant background conductivity was observed in conjunction with the shallow levels. This high level of point defects revealed from PAS measurements explains the reason behind the common difficulty of achieving good conductivity in Ga<sub>2</sub>O<sub>3</sub> on non-native substrates even when doped with potential donors. Annealing in different atmospheres populate different defect structures revealing the sensitivity of Ga<sub>2</sub>O<sub>3</sub> lattice to the growth and treatment environments. Annealing in Ar atmosphere led to the formation of very large vacancy clusters modifying their defect structure and charge state. These large vacancy clusters are responsible for the blue coloration and the significant shift in the band gap demonstrating the large effect of point-defects on the optoelectronic properties of Ga<sub>2</sub>O<sub>3</sub>.

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